

FROM McANDREWS, HELD, &amp; MALLOY

(THU) '6. 15' 06 15:35/ST. 15:33/NO. 4861050472 P 5

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JUN 15 2006

Attorney Docket No. 16970US01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In the Application of:

David A. Horsnell, et al.

Serial No.: 10/550,807

Filed: September 23, 2005

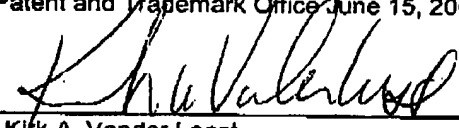
Intl. App. No.: PCT/GB2004/001377

Intl. Filing Date: March 25, 2004

Title: METHOD

CERTIFICATE OF FAX TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile to Mail Stop Missing Parts, Commissioner of Patents, at the United States Patent and Trademark Office June 15, 2006.

  
Kirk A. Vander Leest  
Reg. No. 34,036

COMPLETION OF FILING REQUIREMENTS

Mail Stop MISSING PARTS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sirs:

This replies to the Notice to File Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US) dated, May 25, 2006, a copy of which is enclosed. The above-identified application was filed without an oath or declaration. The enclosed are being submitted to complete the filing requirements:

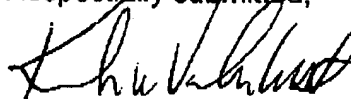
1. An executed Declaration For Utility Or Design Patent Application (37 CFR 1.63);
2. Power of Attorney and Correspondence Address Indication Form executed by each inventor;
3. A copy of Notice to File Missing Requirements Under 35 U.S.C. 371 In The United States Designated/Elected Office (DO/EO/US); and
4. A Fee Transmittal for payment of the \$130 late fee surcharge and the \$360 multiple dependent claim fee.

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Response to Missing Parts  
Serial No.: 10/550,807  
Page 2 of 2

The Commissioner is hereby authorized to charge the \$130.00 surcharge for the late submission of the oath or declaration and the additional requisite fee of \$360.00 for the multiple dependent claim, and any additional fees which may be required in connection with this submission or credit any overpayment to Account No. 13-0017.

Respectfully submitted,



Kirk A. Vander Leest  
Reg. No. 34,036

Date: June 15, 2006

McAndrews, Held & Malloy, Ltd.  
500 West Madison St., Suite 3400  
Chicago, Illinois 60661  
(312) 775-8000  
(312) 775-8100 (fax)  
kvanderleest@mhmlaw.com

06/21/2006 MKAYPAGH 00000116 130017 10550807

01 FC:1616	360.00 DA
02 FC:1617	130.00 DA



## UNITED STATES PATENT AND TRADEMARK OFFICE

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U.S. APPLICATION NUMBER NO. 10/550,807	FIRST NAMED APPLICANT David Andrew Horsnell	ATTY. DOCKET NO. 16970US01
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INTERNATIONAL APPLICATION NO.

PCT/GB04/01377

I.A. FILING DATE

03/25/2004

PRIORITY DATE

03/25/2003

Kirk A Vander Leest  
McAndrews Held & Malloy  
500 W Madison St.  
34th Floor  
Chicago, IL 60661**RESPONSE DUE:**  
*July 25, 2006*

CONFIRMATION NO. 3988

371 FORMALITIES LETTER

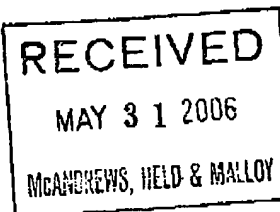
\*OC000000018944046\*

Date Mailed: 05/25/2006 3 1 2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 09/23/2005
- Copy of the International Search Report filed on 09/23/2005
- Copy of IPE Report filed on 09/23/2005
- U.S. Basic National Fees filed on 09/23/2005
- Priority Documents filed on 09/23/2005
- Specification filed on 09/23/2005
- Claims filed on 09/23/2005
- Abstracts filed on 09/23/2005
- Drawings filed on 09/23/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Additional claim fees of \$360 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.
- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

## SUMMARY OF FEES DUE:

Total additional fees required for this application is \$490 for a Large Entity:

- \$130 Surcharge.
- Total additional claim fee(s) for this application is \$ 360
  - \$360 for multiple dependent claim surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

WINSTON M ALVARADO

Telephone: (703) 308-9140 EXT 206

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/550,807	PCT/GB04/01377	16970US01

FORM PCT/DO/EO/905 (371 Formalities Notice)

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FROM McANDREWS, HELD, & MALLOY

(THU) '6. 15' 06 15:34/ST 15:33/NO. 4861050472 P 3

**JUN 15 2006**

PTO/SB/17 (01-06)

Approved for use through 07/31/2008. OMB 0851-0032  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Fees pursuant to the consolidated Appropriates Act, 2005 (H.R. 4818).

# **FEE TRANSMITTAL for FY 2006**

*Complete if Known*

Application Number	10/560,807
Filing Date	September 23, 2005
First Named Inventor	David A. Horsnell
Examiner Name	N/A
Art Unit	N/A
Attorney Docket No.	16970US01

☐ Applicant claims small entity status. See 37 CFR 1.27

**TOTAL AMOUNT OF PAYMENT** (\$) 490.00

**METHOD OF PAYMENT** (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_

☒ **Deposit Account** Deposit Account Number: 13-0017 Deposit Account Name: McAndrews Held & Malloy

For the above-identified deposit account, the Director is hereby authorized to (check all that apply)

☒ Charge Fee(s) indicated below

☐ Charge Fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments of fees(s) ☒ Credit any overpayments under 37 CFR 1.16 and 1.17

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION** (All the fees below are due upon filing or may be subject to a surcharge.)

**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid(\$)
	Fee (\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	Fee(\$)	Small Entity Fee(\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	60	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee(\$)	Small Entity Fee(\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

**Total Claims** \_\_\_\_\_ **Extra Claims** \_\_\_\_\_ **Fee(\$)** \_\_\_\_\_ **Fee Paid (\$)** \_\_\_\_\_

-20 or HP

x

=

HP = highest number of total claims paid for, if greater than 20

**Indep. Claims** \_\_\_\_\_ **Extra Claims** \_\_\_\_\_ **Fee(\$)** \_\_\_\_\_ **Fee Paid (\$)** \_\_\_\_\_

-3 or HP

x

=

HP = highest number of independent claims paid for, if greater than 3

**Multiple Dependent Claims**  
**Fee** 360.00 **Fee Paid (\$)** 360.00

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

**Total Sheets** \_\_\_\_\_ **Extra Sheets** \_\_\_\_\_ **Number of each additional 50 or fraction thereof** \_\_\_\_\_ **Fee(\$)** \_\_\_\_\_ **Fee Paid(\$)** \_\_\_\_\_

-100

/50

\_\_\_\_\_ (round up to a whole number)

x

=

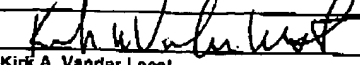
**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Surcharge for late submission of oath or declaration

130.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent)	34,036	Telephone	(312)775-8000
Name (print/type)	Kirk A. Vander Leest	Date	June 15, 2008		

FROM McANDREWS, HELD, & MALLOY

(THU) '6. 15' 06 15:34/ST. 15:33/NO. 4861050472 P. 1

**MH&M**

MCANDREWS, HELD & MALLOY  
34TH FLOOR  
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CHICAGO, ILLINOIS 60661

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JUN 15 2006

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TO: Mail Stop Missing Parts  
Commissioner for Patents

FAX NO.: (571) 273-8300

FROM: Kirk A. Vander Leest

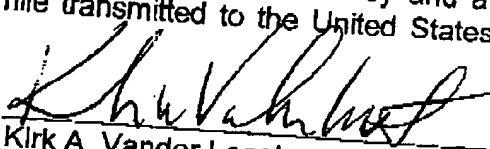
USER ID: 8263

CLIENT: 02120

MATTER: 16970US01

Number of Pages This Transmission (Including Cover Page): 18

I hereby certify that the attached Transmittal Form, Fee Transmittal (in duplicate), Completion of Filing Requirements, Declaration, Powers of Attorney and a copy of the Notice is Missing Parts are being facsimile transmitted to the United States Patent and Trademark Office on June 15, 2006.

  
Kirk A. Vander Leest  
Reg. No. 34,036

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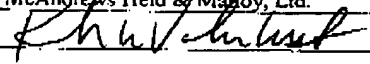
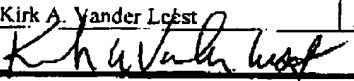
JUN 15 2006

PTO/SB/21 (09-04)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/550,807
		Filing Date	September 23, 2005
		First Named Inventor	David A. Horsnell
		Art Unit	
		Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number	16970US01
<b>ENCLOSURES (check all that apply)</b>			
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	
		<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Return-Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below):	
Remarks			
<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>			
Firm	McAndrews Held & Malloy, Ltd.		
Signature			
Printed Name	Kirk A. Vander Leest, Reg. No. 34,036		
Date	June 15, 2006		
<b>CERTIFICATE OF FAX TRANSMITTAL</b>			
I hereby certify that this correspondence is being sent via facsimile to Mail Stop MISSING PARTS, Commissioner of Patents, at the United States Patent and Trademark Office June 15, 2006.			
Name (Print/type)	Kirk A. Vander Leest	Registration No. (Attorney/Agent)	34,036
Signature		Date	June 15, 2006

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